



## CPD Seminars – Environmental, Social and Governance ('ESG') Series (August 2015)



Our ESG Series is designed for professionals who are interested in developing their knowledge about the issues relating to sustainability reporting, ESG performance, ESG reporting requirements and the benefits of ESG management. This seminar in August 2015 will cover the newly revised Corporate Governance Code which listed companies in Hong Kong should be aware of.

Date/Programme code	Time	Topic	Competency	Speakers
Thursday, 20 August 2015 (S150820S)	7:00 p.m. – 8:30 p.m.	Corporate Governance Code Update and Environmental Social and Governance ('ESG') Factors for Listed Companies in Hong Kong (re-run)	Accounting and financial reporting	Mr. Roy Lo, Ms. Gloria So

<b>Venue</b>	Hong Kong Institute of CPAs, 27/F., Wu Chung House, 213 Queen's Road East, Wanchai, Hong Kong.
<b>Format</b>	Seminar
<b>Language</b>	English
<b>Fee</b>	HK\$190 for HKICPA member or student; and IA/ HKIAAT member or student HK\$180 for online enrolment HK\$330 for non-member
<b>Participants</b>	Professional accountants, financial controllers, directors, company secretaries
<b>Rating</b>	Intermediate to Advanced Level (Please refer to the Institute's online CPD Learning Resource Centre)
<b>CPD hours</b>	1.5



## About the programme

### S150820S – Corporate Governance Code Update and Environmental Social and Governance ('ESG') Factors for Listed Companies in Hong Kong (re-run)

#### Course outline

The Hong Kong Exchanges and Clearing Limited ('HKEx') published the consultation conclusions on proposed changes to the Corporate Governance Code and Corporate Governance Report concerning board diversity and the newly revised Corporate Governance Code has been implemented on 1 September 2013.

Additionally, corporate social responsibility (including ESG issues) has always been an integral part of HKEx's business strategy and management approach. To further its commitment to corporate social responsibility, listed companies are recommended to take steps to raise awareness on ESG reporting and related issues.

Consultation conclusion has been published on August 2012. The Guide became a recommended practice applicable to Hong Kong listed companies with financial years ending after 31 December 2012.

#### Objective

- Corporate Governance Code update: board diversity
- Overview on the ESG reporting
- Benefit of the ESG reporting for the market
- Case studies and discussion of the potential challenges

## About the speaker

**Mr. Roy Lo**, Managing Partner, SHINEWING (HK) CPA Limited

Mr. Lo has accumulated over 22 years of auditing, merger and acquisition, IPO advisory experience in different industries. He is mainly specialized in assurance and listing projects for both PRC and Hong Kong companies. He is also responsible for various risk management and internal control engagements.

**Ms. Gloria So**, Senior Risk Manager, SHINEWING Risk Services Limited

Ms. So has around 12 years of working experience in enterprise risk management, internal controls, information technology general control review, financial due diligence and external audit. Her industry experiences include performing SOX404, Internal Audit Reviews, Enterprise Risk Management framework and other strategic review engagements for different industries.



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<http://www.hkicpa.org.hk>

## HKICPA Event Enrolment Form (For Support Programme)

Finance & Operations Department,  
Hong Kong Institute of CPAs,  
37/F, Wu Chung House, 213 Queen's Road East, Hong Kong

Please click here for online enrolment  
(for HKICPA members only)

Payment & Enrolment Status Enquiry: 2287 7381 e-mail: <a href="mailto:finance@hkicpa.org.hk">finance@hkicpa.org.hk</a> Fax : 2893 9853	Course Information Enquiry: 2287 7386 / 2287 7253 e-mail: <a href="mailto:cpd@hkicpa.org.hk">cpd@hkicpa.org.hk</a>	<b>FOR OFFICE USE</b>
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### Deadline: 7 working days before the date of the programme

No.	Membership		Full Name of Participant(s) (Block Letters)	Company	Email address <sup>*(2)</sup> (Block Letters)	Programme Code	Fee (HK\$)	
	No.	Status (1)						
1								
2								
3								
4								
							Total (HK\$)	

\* Email address is for enrolment confirmation purpose. Please refer to note (2) for details.

I am unemployed and not working. I am planning to rejoin the workforce.  
The Institute reserves the right to allocate places to enable the enjoyment of more members in this event.  
The Institute's decision is final. Applicant must submit the enrolment form 10 working days before the event.

Contact Person : \_\_\_\_\_ Tel No. : \_\_\_\_\_ Fax No. : \_\_\_\_\_ E-mail : \_\_\_\_\_

#### Payment Method (Please tick the appropriate box)

<input type="checkbox"/> Cheque (no. _____) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA" <sup>(6)</sup>											
<input type="checkbox"/> VISA / MasterCard <input type="checkbox"/> BOC HKICPA UnionPay card											
Card Number:										Card Expiry Date (MM/YY):	
Cardholder's Name (block letters):						Cardholder's Signature:				Date:	
<input type="checkbox"/> I would like to have an official receipt. (Remarks: Official receipt will be sent to your email address provided above).											

- Notes:**
- Membership Status: **NP** = Non-Practising, **P** = Practising, **IA** = International Affiliate, **S** = Student, **HKIAAT** = HKIAAT Student or Member, **GAA** = GAA Passport holder, **NM** = Non-Member.
  - Successful applicants will receive confirmation of registration by email at least 3 working days before the event. Unsuccessful applicants will also be notified around the same time. If you have not heard from the Institute regarding your registration 2 working days before the event and if you have other enquiries related to the programme, please make enquiry at 2287 7386 or 2287 7253.
  - NO ADMISSION TICKET will be issued. Please bring your HKICPA membership card or confirmation email for admission purpose.
  - All applications are on a first-come-first-served basis.
  - Application by fax will ONLY be accepted when payment is made by credit card. Cash is strictly not accepted. Please ensure all the particulars relating to payment are completed, otherwise the application cannot be processed. There is NO need to send in the enrolment form again if it has already been faxed to the Institute.
  - Please issue SEPARATE CHEQUE for each event.
  - NO REFUND/CANCELLATION will be entertained after the application is processed.
  - All scheduled events will be cancelled and postponed to a date to be announced in the event of typhoon signal no. 8 or above or if a Black Rainstorm warning is hoisted. For details of bad weather arrangement for CPD programmes, please refer to the Institute's homepage.
  - The Institute reserves the right to change the venue, date, speaker or to cancel the event due to unforeseen circumstances.
  - Your personal data collected from the enrolment process and administration of courses will be used for the purpose of the administration of the course on which you are enrolled. Such data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis and, if applicable, for validation of CPD hours. The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of CPD activities, members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in via the following link <https://www.hkicpa.org.hk/en/members-area/comm-preference/>. Non-members may opt out of receiving such materials at any time by sending an email to the Institute at [privacyofficer@hkicpa.org.hk](mailto:privacyofficer@hkicpa.org.hk) or a letter to the Institute's privacy officer. For more information about the privacy policy of the Institute, please go to <http://www.hkicpa.org.hk/en/service-tools/privacy-policy/>
  - No unauthorized audio or video recording is allowed at CPD events.

For payment by cheque, please fill-in your postal address for refund in case the event is full or cancelled. (Remarks: For payment by credit card, refund will be made directly to your credit card.)	
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